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PTO/SB/21 (08-03)

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

17 refs

Application Number

10/807,009

Filing Date

March 23, 2004

First Named Inventor

Misty AZARA et al.

Art Unit

2644

Examiner Name

NOT YET ASSIGNED

Attorney Docket Number

FX/A3007Q2-317005

**ENCLOSURES (Check all that apply)**

Fee Transmittal Form



Fee Attached



Amendment/Reply



After Final



Affidavits/declaration(s)



Extension of Time Request



Express Abandonment Request



Information Disclosure Statement



Certified Copy of Priority Document(s)

Response to Missing Parts/  
Incomplete ApplicationResponse to Missing Parts  
under 37 CFR 1.52 or 1.53

Drawing(s)



Licensing-related Papers



Petition

Petition to Convert to a  
Provisional ApplicationPower of Attorney, Revocation  
Change of Correspondence Address

Terminal Disclaimer



Request for Refund



CD, Number of CD(s) \_\_\_\_\_

After Allowance communication  
to Technology Center (TC)Appeal Communication to Board  
of Appeals and InterferencesAppeal Communication to TC  
(Appeal Notice, Brief, Reply Brief)

Proprietary Information



Status Letter

Other Enclosure(s) (please  
Identify below):1 PTO-1449;  
17 references

Remarks

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**Firm  
or  
Individual nameChristian Austin-Hollands (Reg. No. 46,527)  
Customer Number 52825  
Tel. 415.762.9543

Signature

Date

March 20, 2006

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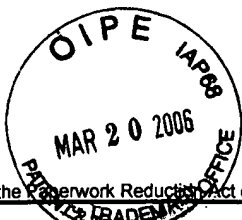
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Date

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PTO/SB/08B (04-03)

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	10/807,009
Filing Date	March 23, 2004
First Named Inventor	Misty AZARA et al.
Art Unit	2644
Examiner Name	
Attorney Docket Number	FX/A3007Q2-317005

Sheet 1 of 3

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Levow, G., "Prosodic Cues to Discourse Segment Boundaries in Human-Computer Dialogue", in 5th SIGdial Workshop on Discourse and Dialogue Boston, April 30 and May 1, 2004.	
		Lascarides, A. and Oberlander, J., "Temporal Coherence and Defeasible Knowledge", Theoretical Linguistics, 19.1, pp. 1-35, Walter de Gruyter, Berlin, New York, 1993.	
		"CHATR: A Generic Speech Synthesis System", December 25, 1997, downloaded from <a href="http://feast.atr.jp/chatr/manual/index.html">http://feast.atr.jp/chatr/manual/index.html</a> 3/16/2006.	
		"HCRC Project: ID4S Intonation in Dialogue for Speech Recognition", downloaded from <a href="http://www.hcrc.ed.ac.uk/Site/IDS4.html">http://www.hcrc.ed.ac.uk/Site/IDS4.html</a> 6/2/2004.	
		Nuance Say Anything Grammars product description, downloaded from <a href="http://cafe.bevocal.com/docs/grammar/sayanything.html">http://cafe.bevocal.com/docs/grammar/sayanything.html</a> 6/2/2004.	
		Ljolje, A., "The AT&T LVCSR-2001 System", May 3, 2001, downloaded from <a href="ftp://jaguar.ncsl.nist.gov/evaluations/hub5/may01/pres/att_lvcsr.pdf">ftp://jaguar.ncsl.nist.gov/evaluations/hub5/may01/pres/att_lvcsr.pdf</a> 3/16/2006.	
		DARPA Communicator Project: Robust Recognition and Dialog Tracking for Interactive Information Access, March 2003, downloaded from <a href="http://ssli.ee.washington.edu/projects/communicator.html">http://ssli.ee.washington.edu/projects/communicator.html</a> 3/15/2006.	
		Ayers, G.M., 1992. "Discourse functions of pitch range in spontaneous and read speech." Presented at the Linguistic Society of America Annual Meeting.	
		Brown, G. and Kenworthy, J. 1980 Questions of Intonation, Baltimore, University Park Press, p. 21-122.	

Examiner  
SignatureDate  
Considered

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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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First Named Inventor	Misty AZARA et al.
Art Unit	2644
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Attorney Docket Number	FX/A3007Q2-317005

Sheet

2

of

3

**NON PATENT LITERATURE DOCUMENTS**

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		Kamp, H. 1981. "A Theory of of Truth and Semantic Representation." in J.A.G. Groenendijk, T. Jannssen, and M. Stokhof (eds.) Formal Methods in the Study of Language. Amsterdam: Mathematisch Centrum, 277-322.	
		Ladd, D.R. 1983, "Phonological Features of Intonation Peaks", Language, 59:721-759.	
		Ladd, D.R. 1988. "Declination Reset and the Hierarchical Organization of Utterances" Journal of the Acoustical Society of America, 84(2):530-544.	
		Mariani, J.; Proubeck, P., 1999 "Language Technologies Evaluation in the European Framework", Proceedings of the DARPA Broadcast News Workshop, Washington: Morgan Kaufman Publishers, pp. 237-242.	
		Nakatani, C.; Hirschberg, J.; and Grosz, 1995. "Discourse Structure in Spoken Language: Studies on Speech Corpora." In Working Notes of the AAAI-95 Spring Symposium in Palo Alto, CA in Empirical Methods in Discourse Interpretation. pp. 106-112.	
		Polanyi, L.; and Sch, R., 1984. "A syntactic approach to discourse semantics." Proceedings of the 10th International Conference on Computational Linguistics, Stanford, CA 413-419.	
		Silverman, K.; Beckman, M.; Pierrehumbert, J.; Ostendorf, M.; Wightman, C.; Price, P.; and Hirschberg, J. 1992. "ToBI: A standard scheme for labeling prosody." In Proceedings of ICSLP. Banff: International Conference on Spoken Language Processing.	
		Terken, J. 1984. "The distribution of pitch accents in instructions as a function of discourse structure." Language and Speech, 27:269-289.	

Examiner  
SignatureDate  
Considered

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

Sheet	3	of	3
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**Complete if Known**

Application Number	10/807,009
Filing Date	March 23, 2004
First Named Inventor	Misty AZARA et al.
Art Unit	2644
Examiner Name	
Attorney Docket Number	FX/A3007Q2-317005

## U. S. PATENT DOCUMENTS

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## FOREIGN PATENT DOCUMENTS

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Signature**

Date  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/807,009  
Applicants : Misty AZARA et al.  
Filed : March 23, 2004  
TC/A.U. : 2644  
Examiner : NOT YET AVAILABLE  
Docket No. : FX/A3007Q2-317005  
Customer No. : 52725

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. 1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) and information listed on the attached PTO-1449 Form. One legible copy of each is attached to the PTO-1449 Form. It is respectfully submitted that the references and information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

☒ 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date of the above application (which is not a CPA), OR (b) before the mailing date of a first Office Action on the merits. No certification or fee is required.

☐ 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the date of the first Office Action on the merits, but before the mailing date of a Final Rejection, Notice of Allowance, or other action that closes prosecution. Please debit Xerox Corporation Deposit Account 24-0037 in the amount of \$180.00 in payment of the fee under 37 C.F.R. 1.17(p). (A copy of this letter is attached.)

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☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge, after making reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c), more than three months prior to the filing of this Information Disclosure Statement 37 C.F.R. 1.97(e)(2).

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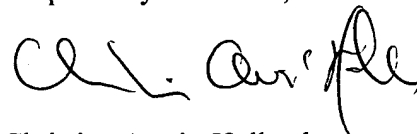
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☐ 5. Per 37 C.F.R. 1.98(a)(3), a concise explanation of the relevance of each of any submitted item that was not in the English language is either enclosed herewith, or incorporated in the application specification.

☐ 6. A copy of an English language version of an above-referenced counterpart foreign application search report is attached.

☐ 7. Copies of some or all of the subject references were cited by, or submitted to the Office in related parent Application No. \_\_\_\_\_, filed \_\_\_\_\_, which is relied upon under 35 U.S.C. § 120. Thus, copies of these references are not attached (except for copies of cited pending applications). 37 C.F.R. §1.98(d).

Respectfully submitted,



Christian Austin-Hollands

Registration No. 46,527

Date: March 20, 2006

**CHRISTIAN AUSTIN-HOLLANDS ESQ.**  
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**San Francisco, CA 94117**  
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